

## Account Opening Document (Vx) Checklist - Individual/Joint Account

### Vx 開戶文件核對清單 - 個人 / 聯名帳戶

Individual/ Primary Joint Account Holder 個人/ 聯名帳戶 主要持有人	Secondary Joint Account Holder 聯名帳戶第二持 有人	Required documents 所需文件
<input type="checkbox"/>	<input type="checkbox"/>	Account Opening Form (Individual/Joint Account) 開戶表格 (個人帳戶 / 聯名帳戶)
<input type="checkbox"/>	<input type="checkbox"/>	Hong Kong Permanent Resident: Identity Card 香港永久居民: 身份證 Resident of Other Jurisdictions: Identity Card <u>AND</u> Passport 其他地方的居民: 身份證 <u>及</u> 護照
<input type="checkbox"/>	<input type="checkbox"/>	Residential Address Proof (issued within the last 3 months) 居住地址證明 (最近三個月內發出) <b>A copy of your bank statement, utilities bill, mobile phone or credit card statements issued in your name the last 3 months</b> 閣下名下 3 個月內的銀行月結單, 公用事務費單, 手提電話或信用卡月結單之副本
<input type="checkbox"/>	<input type="checkbox"/>	Ultimate Beneficial Owner(s) (if applicable) 最終權益擁有人士 (如適合) A copy of Ultimate Beneficial Owner(s)' bank statement, utilities bill or credit card statement issued in the name of Ultimate Beneficial Owner(s) within the last 3 months, and a HKID/Passport Copy of the Ultimate Beneficial Owner(s) 最終權益擁有人士名下 3 個月內的銀行月結單, 公用事務費單或信用卡月結單之副本及最終權益擁有人士之香港身份證或護照之副本
<input type="checkbox"/>	<input type="checkbox"/>	Written consent from the Licensed or Registered Person (if the Client or the ultimate beneficial owner of the account is a director/employee of Licensed or Registered Person) 注册或持牌人士之同意書 (如客戶或帳戶最終權益擁有人為注册或持牌人士之董事/僱員)
<input type="checkbox"/>	<input type="checkbox"/>	Asset Proof (for Type 9 Professional Investor Only) 資產證明 (僅適用於第9類專業投資者)

#### Remarks 備註:

- The checklist is for reference purposes. Apart from the documents listed above, Top One reserves the right to request additional supporting documents at any time.  
以上清單只供參考。除以上所列之文件外, 天一保留於任何時間要求客戶提供額外證明文件的權利。
- The document copies shall be certified as true copies of the originals by a suitable certifier, such as a solicitor, a certified public accountant, a notary public, a member of the Hong Kong Institute of Chartered Secretaries, or any Top One's licensed representative.  
有關文件必須經由適合的證明人簽證有關文件的副本為該正本的完整及真確副本。適合的證明人包括律師、執業會計師、公證人、特許秘書的會員或天一的持牌代表。
- Name of Broker: Top One Global Capital Management Limited  
經紀商名稱: 天一環球資產管理有限公司  
Contact: Customer Services Department  
聯絡: 客戶服務部  
Telephone 電話: (852) 31070725  
Email 電郵: [cs@toponecp.com](mailto:cs@toponecp.com)  
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